

From processing to learning on graphs

Patrick Pérez

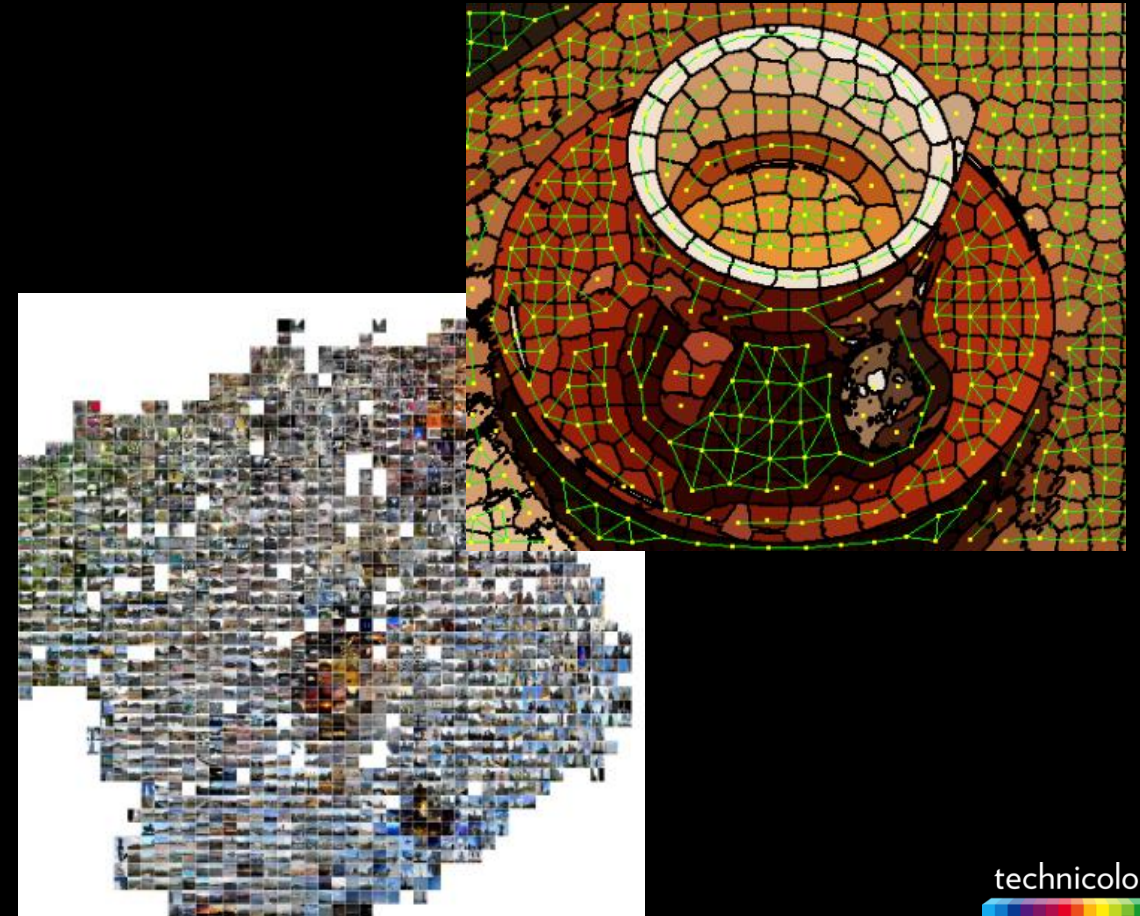
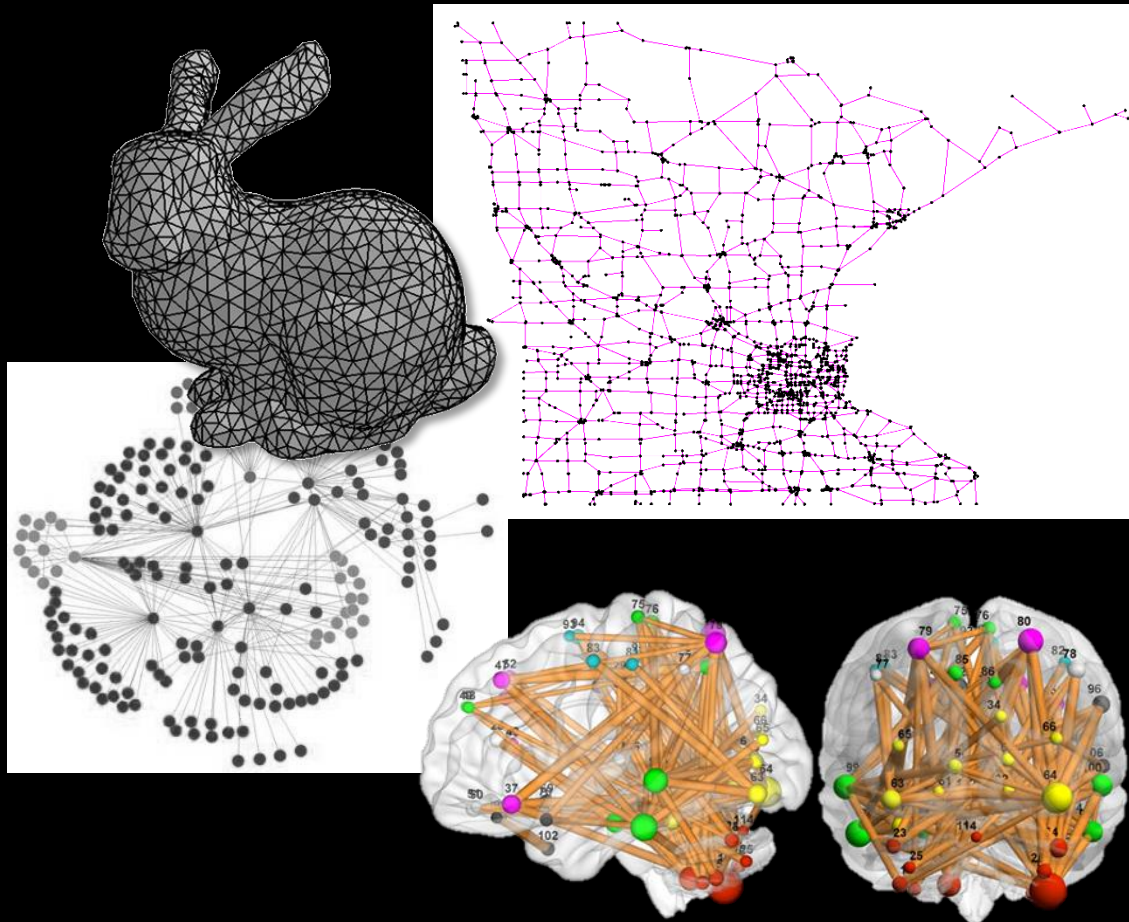
Maths and Images in Paris

IHP, 2 March 2017

Signals on graphs

- ▶ **Natural graph:** mesh, network, etc., related to a “real” structure, various signals can live on it

- ▶ **Instrumental graph:** derived from a collection or a signal, captures its structure, other signals leverage it



Playing with graph signals

Coding

Compress

Sample

Reconstruct

Processing

Transform

Enhance

Edit

Learning

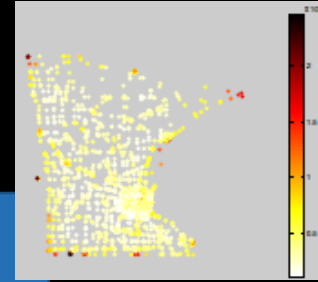
Cluster

Label

Infer

Playing with graph signals

Puy 2016-2017



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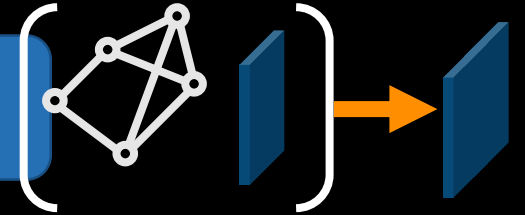
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Puy 2017

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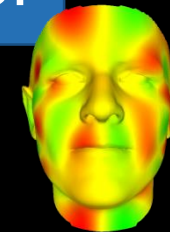
Edit

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Garrido 2016

Undirected weighted graph

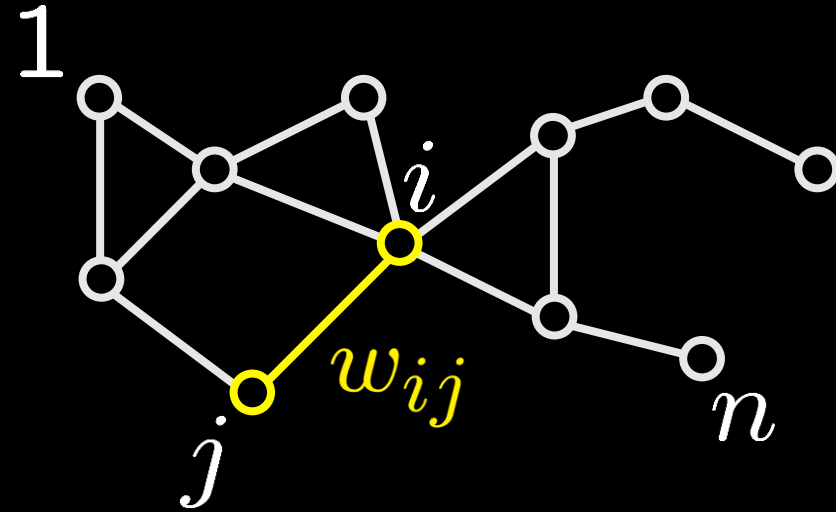
$$\mathcal{G} = \{\mathcal{V}, \mathcal{E}, \mathbf{W}\}$$

$$\mathcal{V} = (1, n)$$

$$\mathcal{E} \in \mathcal{V} \times \mathcal{V}$$

$$\mathbf{W} = [w_{ij}] \in \mathbb{R}_{+}^{n \times n}, \text{ sym.}$$

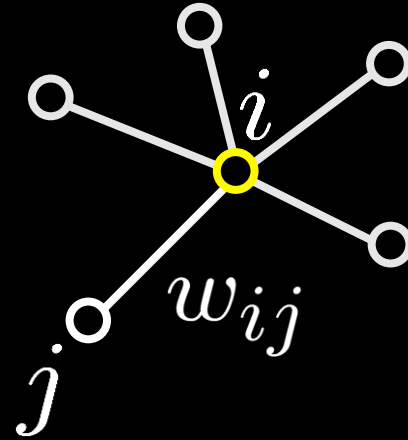
$$w_{ij} > 0 \iff (i, j) \in \mathcal{E}$$



Graph Laplacian(s)

Vertex degree and degree matrix

$$d_i = \sum_{j=1}^n w_{ij}, \quad D = \text{diag}(d_1 \cdots d_n)$$



Symmetric p.s.d. Laplacians

► Combinatorial Laplacian

$$\boxed{L = D - W}$$

► Normalized Laplacian

$$L_{\text{norm}} = I_d - D^{-1/2} W D^{-1/2}$$

$$L \mathbf{1} = 0$$
$$L_{\text{norm}} D^{1/2} \mathbf{1} = 0$$

Graph signal and smoothness

Signals / functions on graph

- ▶ Scalar $\mathbf{x} \in \mathbb{R}^n$, $i \mapsto f(i) = x_i$
- ▶ Multi-dim. $\mathbf{X} = [\mathbf{x}_1 \cdots \mathbf{x}_m] \in \mathbb{R}^{n \times m}$, $i \mapsto \mathbf{f}(i) = (x_{k,i})_{k=1}^m$

Graph smoothness

- ▶ Scalar

$$\frac{1}{2} \sum_{i,j} w_{ij} (x_i - x_j)^2 = \mathbf{x}^\top \mathbf{L} \mathbf{x}$$

- ▶ Multi-dimensional

$$\frac{1}{2} \sum_{i,j} w_{ij} \|\mathbf{f}(i) - \mathbf{f}(j)\|^2 = \text{trace}(\mathbf{X}^\top \mathbf{L} \mathbf{X})$$

Spectral graph analysis

Laplacian diagonalization and graph harmonics of increasing “frequencies”

$$\boxed{L = U\Lambda U^T}$$

$$\lambda_1 = 0 \leq \lambda_2 \cdots \leq \lambda_n \leq 2 \max\{d_i\}$$

$$\Lambda = \text{diag}(\lambda_1 \cdots \lambda_n)$$

$$U = [\mathbf{u}_1 \cdots \mathbf{u}_n] \text{ orthogonal}$$

$$\mathbf{u}_\ell^T L \mathbf{u}_\ell = \lambda_\ell$$

Graph Fourier transform and its inverse

$$\boxed{\hat{\mathbf{x}} = U^T \mathbf{x}}$$

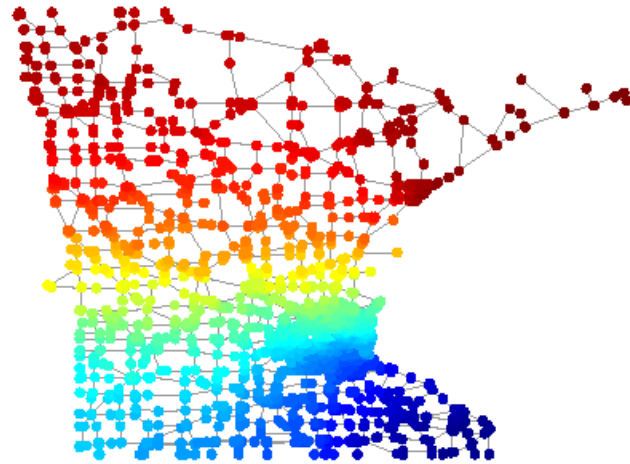
$$\mathbf{x} = U \hat{\mathbf{x}}$$

Smooth (k -bandlimited) signals

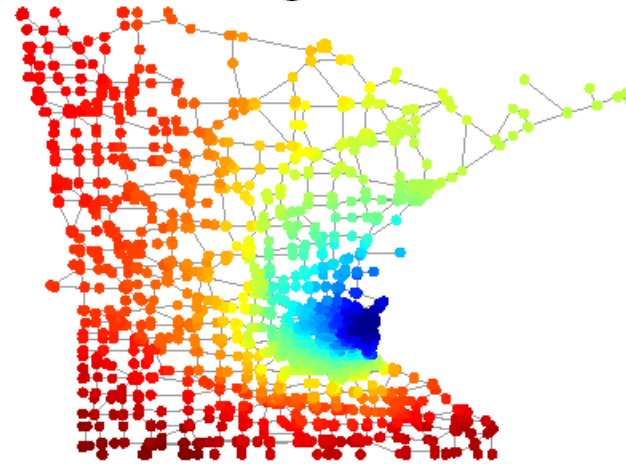
$$\mathbf{x} \in \text{span}(U_k), \quad U_k = [\mathbf{u}_1 \cdots \mathbf{u}_k]$$

Spectral graph analysis

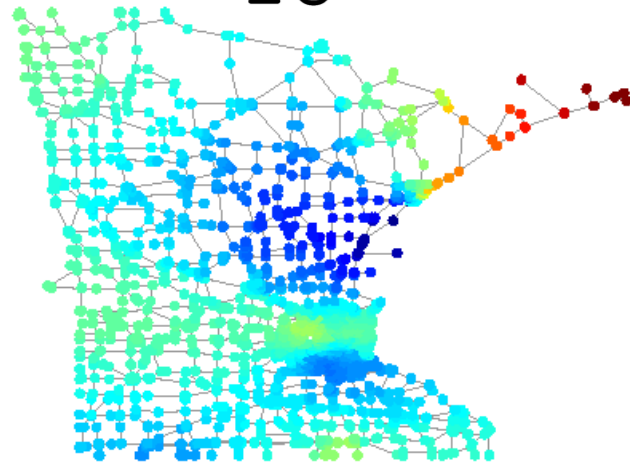
u_2 (Fiedler)



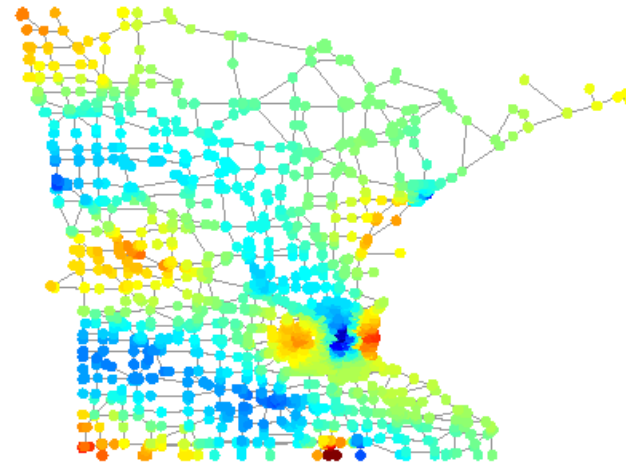
u_3



u_{10}



u_{20}

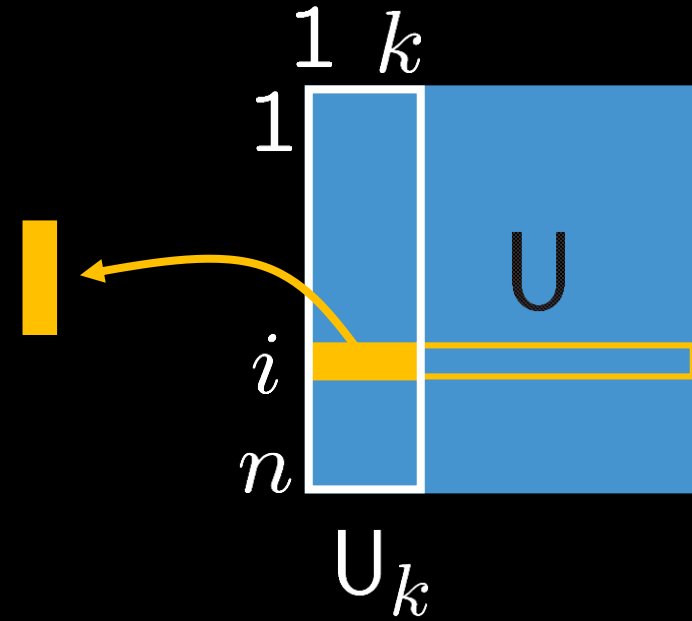
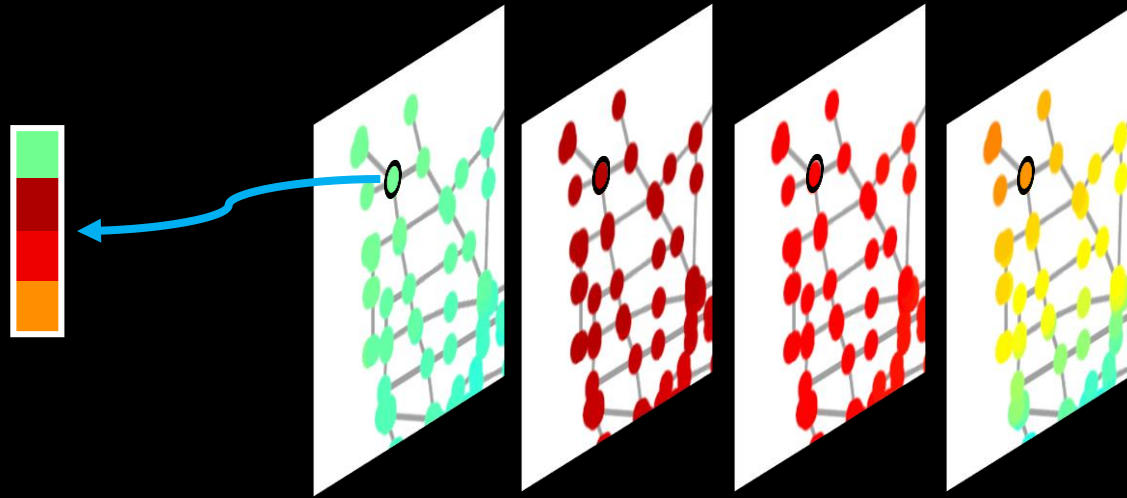


Spectral vertex embedding

Rows of truncated Fourier basis

$$b_i = U_k^T \delta_i \in \mathbb{R}^k, \quad i = 1 \dots n$$

⇒ k -dim embedding of vertices



Clustered with k -means in *spectral clustering*

Linear filters and convolutions

Filtering in the spectral domain

► With filter Fourier transform

$$\hat{h} \in \mathbb{R}^n$$

$$h \star x = U \text{diag}(\hat{h}) U^\top x$$

► Through frequency filtering

$$\hat{g} : \mathbb{R}_+ \rightarrow \mathbb{R}_+$$

$$g(x) = U \hat{g}(\Lambda) U^\top x$$

Issues

- locality on graph
- computational complexity

Polynomial filtering: from spectral to vertex domain

► Controlled locality and complexity

$$\hat{g}(\lambda) = \sum_{r=1}^d \alpha_r \lambda^r$$

$$g(x) = U \hat{g}(\Lambda) U^\top = \sum_{r=1}^d \alpha_r L^r x$$

Sampling graph signals

Random sampling

- ▶ Define vertex sampling distribution
- ▶ Draw signal samples accordingly

$$\mathbf{p} \in [0, 1]^n, \|\mathbf{p}\|_1 = 1$$

$$\omega_j \sim \mathbf{p}, j = 1 \cdots m$$

$$\mathbf{y} = \mathbf{M}\mathbf{x} = (x_{\omega_j})_{j=1}^m$$

Problems

- ▶ Reconstruction of *smooth* signals
- ▶ Performance as function of m
- ▶ Best sampling distribution

[\[Puy et al. 2016\]](#)

Reconstructing smooth signals from samples

Smooth interpolation / approximation (noisy measures)

$$\arg \min_{z \in \mathbb{R}^n} z^T L z \text{ s.t. } Mz = \mathbf{y} \quad \arg \min_{z \in \mathbb{R}^n} \|\mathbf{y} - Mz\|^2 + \gamma z^T L z$$

k -bandlimited approximation: exact or approximate

$$\arg \min_{z \in \text{span}(U_k)} \|\mathbf{y} - Mz\|^2$$

$$\arg \min_{z \in \mathbb{R}^n} \|\mathbf{y} - Mz\|^2 + \gamma z^T \hat{g}(L) z$$

with \hat{g} a highpass polynomial filter

\hat{g} non-decreasing

$\hat{g}(\lambda_k)$ small, $\hat{g}(\lambda_{k+1}) > 0$

Reconstruction quality (1)

$$z^* \in \arg \min_{z \in \text{span}(U_k)} \left\| P^{-1/2} (y - Mz) \right\|^2$$

$$P = \text{diag}(p_{\omega_j})$$

Assuming RIP*

► Noisy measurements: $y = Mx + n$

Given $\varepsilon, \delta \in (0, 1)$, with proba. at least $1 - \varepsilon$,
 $\forall x \in \text{span}(U_k)$, n :

$$\|z^* - x\| \leq \frac{2}{\sqrt{m(1 - \delta)}} \|P^{-1/2} n\|$$

► Noiseless measurements: exact recovery

* m large enough, for now

Reconstruction quality (2)

$$z^* = \arg \min_{z \in \mathbb{R}^n} \|P^{-1/2}(y - Mz)\|_2^2 + \gamma z^T \hat{g}(L)z$$

Assuming RIP*

Given $\varepsilon, \delta \in (0, 1)$, with proba. at least $1 - \varepsilon$,
 $\forall x \in \text{span}(U_k)$, n :

$$\|U_k^T U_k z^* - x\| \leq \frac{1}{\sqrt{m(1 - \delta)}} (A \|P^{-1/2}n\| + B \|x\|) .$$

$$\|U_k^T U_k z^* - z^*\| \leq C \|P^{-1/2}n\| + D \|x\|$$

* m large enough, for now

Optimizing sampling

Some vertices are more important

- ▶ Norm of spectral embedding: max. energy fraction on vertex from k -bandlimited signal

$$\|b_i\| = \|U_k^\top \delta_i\| = \frac{\|U_k^\top \delta_i\|}{\|U^\top \delta_i\|} \leq 1 \quad \|U_k^\top \delta_i\| = \max_{\eta \in \mathbb{R}^k} \frac{\|\eta^\top U_k^\top \delta_i\|}{\|\eta\|}$$

$\|b_i\| \approx 1$ Exists a k -bandlimited signal concentrated on this node; should be sampled

$\|b_i\| \approx 0$ Exists no k -bandlimited signal concentrated on this node; can be ignored

- ▶ Graph weighted coherence of distribution

$$\nu_p^k = \max_{1 \leq i \leq n} \{p_i^{-1/2} \|b_i\|\} \geq \sqrt{k}$$

should be as small as possible

Restricted Isometry Property (RIP)

Given $\varepsilon, \delta \in (0, 1)$, with proba. at least $1 - \varepsilon$,

$$(1 - \delta) \|\mathbf{x}_1 - \mathbf{x}_2\|^2 \leq \frac{1}{m} \left\| \mathbf{P}^{-1/2} \mathbf{M}(\mathbf{x}_1 - \mathbf{x}_2) \right\|^2 \leq (1 + \delta) \|\mathbf{x}_1 - \mathbf{x}_2\|^2$$

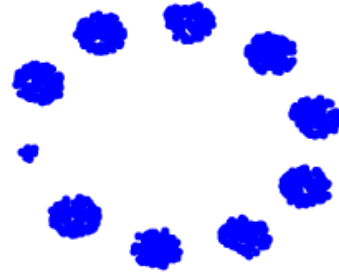
for all $\mathbf{x}_1, \mathbf{x}_2 \in \text{span}(\mathbf{U}_k)$ if

$$m \geq \frac{3}{\delta^2} (\nu_p^k)^2 \ln \left(\frac{2k}{\varepsilon} \right)$$

- ▶ $(\nu_p^k)^2 \ln(k)$ vertices are enough to sample all k -bandlimited signals
- ▶ In best case, $k \ln(k)$ suffice
- ▶ Once selected, vertices can be used to sample all k -bandlimited signals

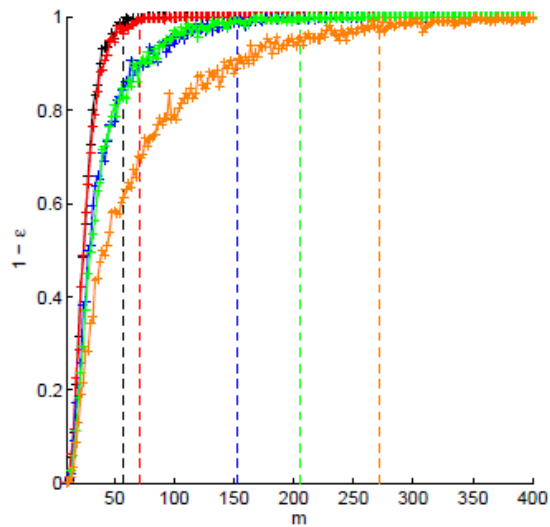
Empirical RIP

Community graphs

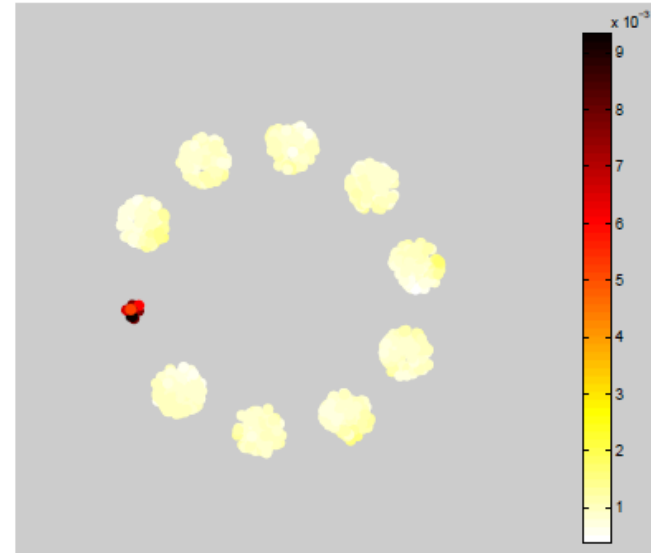
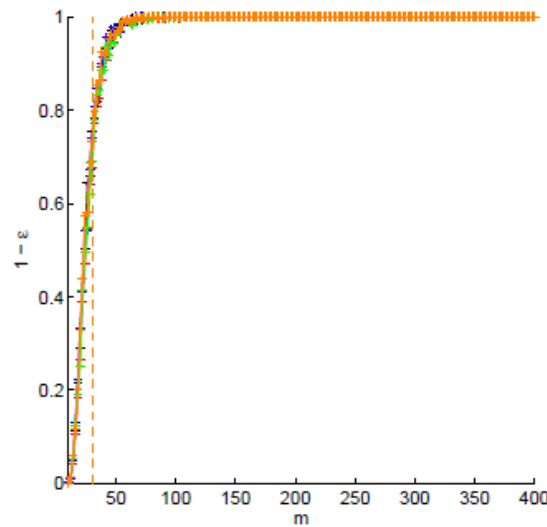


Empirical probability that the RIP holds vs. m .

Uniform sampling



Optimal sampling



Optimal and practical sampling

Optimal sampling distribution

$$p_i^* = k^{-1} \|\mathbf{U}_k^\top \boldsymbol{\delta}_i\|^2 \Rightarrow \nu_{p^*}^k = \sqrt{k}$$

- ▶ $k \ln(k)$ measurements suffice, but requires computation of harmonics

Efficient approximation

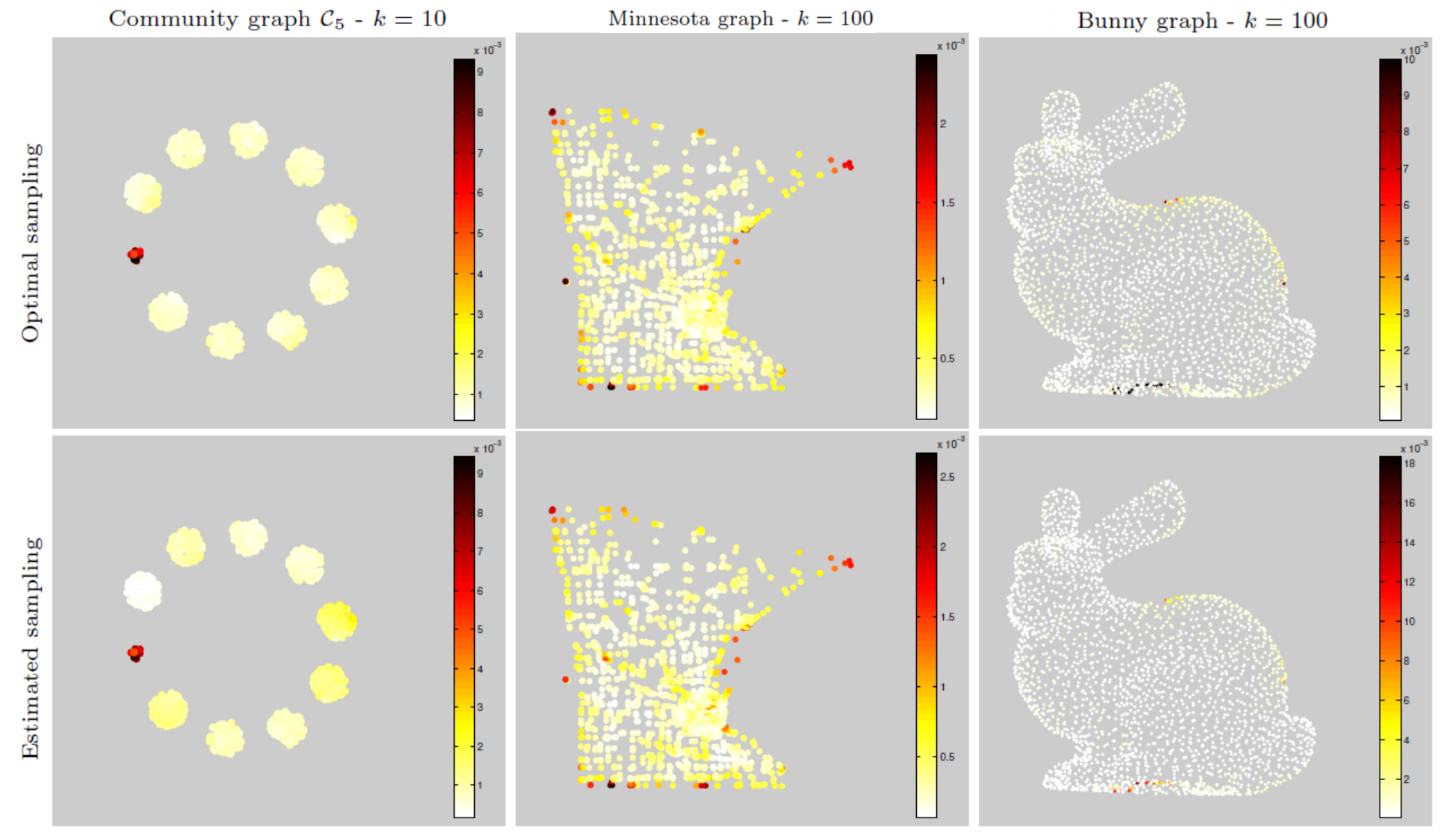
- ▶ Rapid computation of alternative vertex embedding of similar norms

$$\tilde{\mathbf{b}}_i = \mathbf{R}_{n \times \ell}^\top \boldsymbol{\delta}_i$$

with columns of \mathbf{R} obtained by polynomial filtering of suitable Gaussian signals

- ▶ Can serve also for efficient spectral clustering [Tremblay *et al.* 2016]

Optimal and practical sampling



Extension to group sampling

[Puy and Pérez 2017]
under submission

Given a suitable partition of vertices $\mathcal{V} = \cup_{\ell=1}^N \mathcal{V}_\ell$

- ▶ Smooth graph signals almost piece-wise constant on groups



Random sampling?
Reconstruction?

Interest

- ▶ Speed and memory gains (working on reduced signal versions)
- ▶ Interactive systems: propose sampled groups for user to annotate

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Group sampling and group coherence

Reasoning at group level

- ▶ Group sampling

$$\mathbf{p} \in [0, 1]^N, \|\mathbf{p}\|_1 = 1$$

$$\omega_j \sim \mathbf{p}, j = 1 \dots s$$

$$\mathbf{y} = \mathbf{M}\mathbf{x} = (x_i)_{i \in \mathcal{V}_{\omega_j}, j=1 \dots s}$$

$$m = \sum_{j=1}^s |\mathcal{V}_{\omega_j}|$$

- ▶ Local group coherence: max energy fraction in group from a k -bandlimited signal*

$$\max_{\boldsymbol{\eta} \in \mathbb{R}^k} \frac{\|\mathbf{N}^\ell \mathbf{U}_k \boldsymbol{\eta}\|}{\|\boldsymbol{\eta}\|} = \|\mathbf{N}^\ell \mathbf{U}_k\|_2$$

- ▶ Group coherence:

$$\nu_{\mathbf{p}}^k = \max_{1 \leq \ell \leq N} \{p_\ell^{-1/2} \|\mathbf{N}^\ell \mathbf{U}_k\|_2\} \geq 1$$

$$* \mathbf{N}^{(\ell)} \mathbf{x} = (x_i)_{i \in \mathcal{V}_\ell}$$

Restricted Isometry Property (RIP)

$$P = \text{diag}(p_{\omega_j} \text{Id}_{|\mathcal{V}_{\omega_j}|})$$

Given $\varepsilon, \delta \in (0, 1)$, with proba. at least $1 - \varepsilon$

$$(1 - \delta) \|\mathbf{x}_1 - \mathbf{x}_2\|^2 \leq \frac{1}{s} \left\| P^{-1/2} M(\mathbf{x}_1 - \mathbf{x}_2) \right\|^2 \leq (1 + \delta) \|\mathbf{x}_1 - \mathbf{x}_2\|^2$$

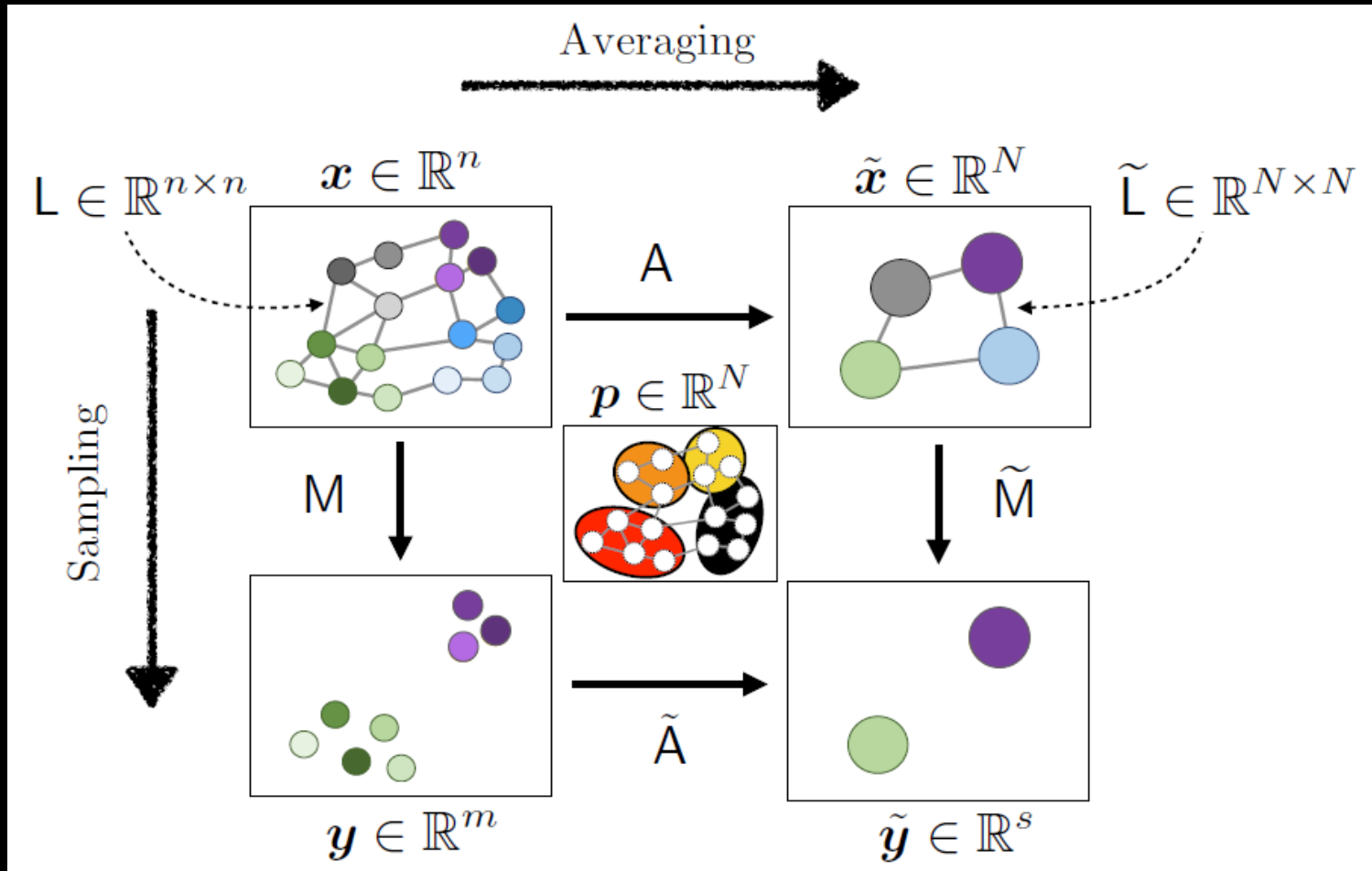
for all $\mathbf{x}_1, \mathbf{x}_2 \in \text{span}(U_k)$ if

$$s \geq \frac{3}{\delta^2} (\nu_p^k)^2 \ln \left(\frac{2k}{\varepsilon} \right)$$

- ▶ $(\nu_p^k)^2 \ln(k)$ groups are enough to sample all k -bandlimited signals
- ▶ In best case, $\ln(k)$ groups suffice

Smooth piece-wise constant reconstruction

$$\tilde{z}^* = \arg \min_{\tilde{z} \in \mathbb{R}^N} \|\tilde{P}^{-1/2}(\tilde{A}y - \tilde{M}\tilde{z})\|_2^2 + \gamma \tilde{z}^T \hat{g}(\tilde{L}) \tilde{z}$$



Smooth piece-wise constant reconstruction

$$\tilde{\mathbf{z}}^* = \arg \min_{\tilde{\mathbf{z}} \in \mathbb{R}^N} \|\tilde{\mathbf{P}}^{-1/2}(\tilde{\mathbf{A}}\mathbf{y} - \tilde{\mathbf{M}}\tilde{\mathbf{z}})\|_2^2 + \gamma \tilde{\mathbf{z}}^\top \hat{g}(\tilde{\mathbf{L}})\tilde{\mathbf{z}}$$

Assuming RIP

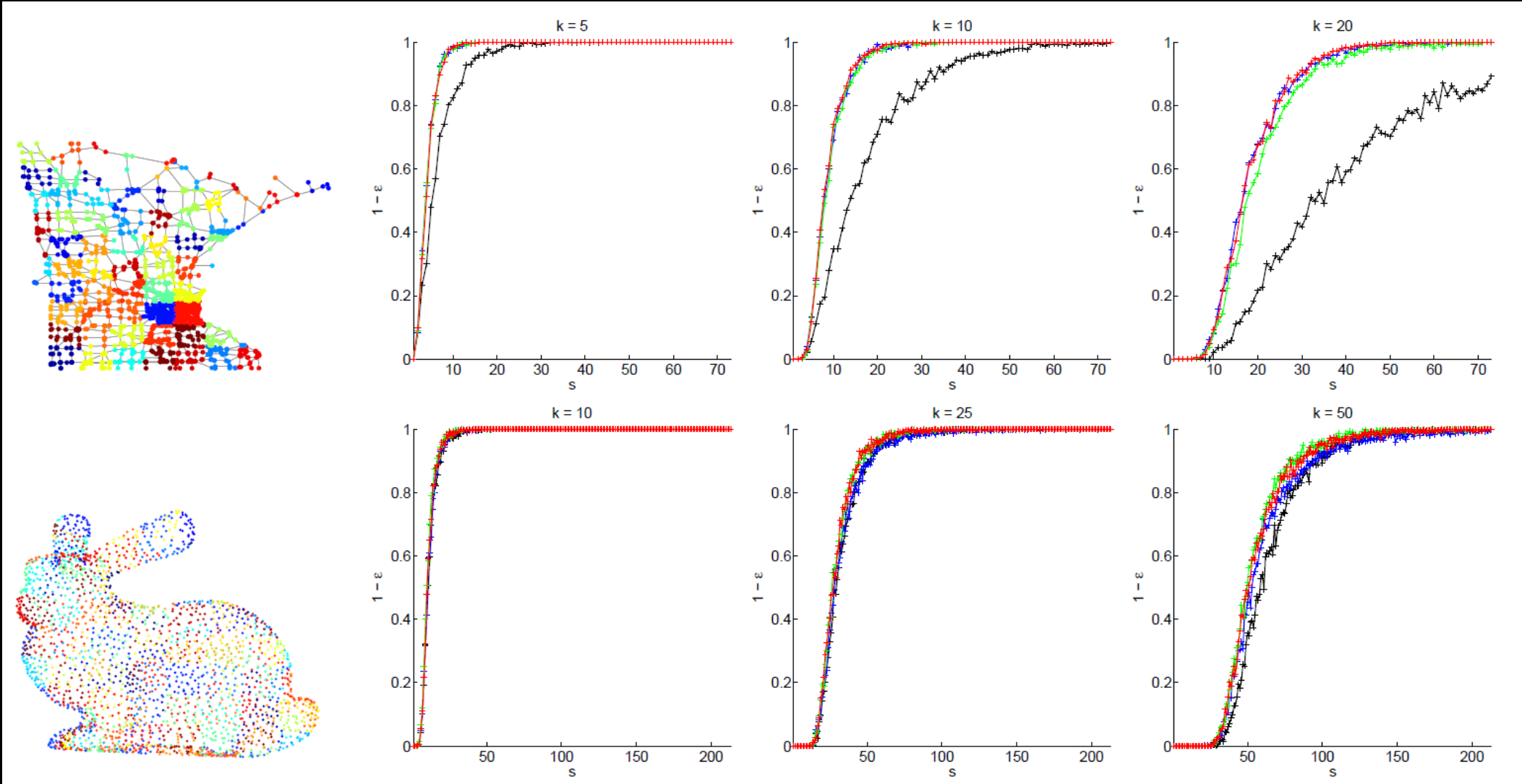
Given $\varepsilon, \delta \in (0, 1)$, with proba. at least $1 - \varepsilon$

$$\|\mathbf{U}_k^\top \mathbf{U}_k \mathbf{z}^* - \mathbf{x}\| \leq \frac{1}{\sqrt{s(1-\delta)}} \left(A \|\tilde{\mathbf{P}}^{-1/2} \tilde{\mathbf{n}}\| + (B + \zeta E) \|\mathbf{x}\| \right)$$

$$\|\mathbf{U}_k^\top \mathbf{U}_k \mathbf{z}^* - \mathbf{z}^*\| \leq C \|\mathbf{P}^{-1/2} \mathbf{n}\| + D(1 + \zeta) \|\mathbf{x}\|$$

$$\forall \mathbf{x} \in \text{span}(\mathbf{U}_k) \text{ and } \|\mathbf{A}^\top \mathbf{A} \mathbf{x} - \mathbf{x}\| \leq \zeta \|\mathbf{x}\|$$

Empirical RIP



Group sampling distributions

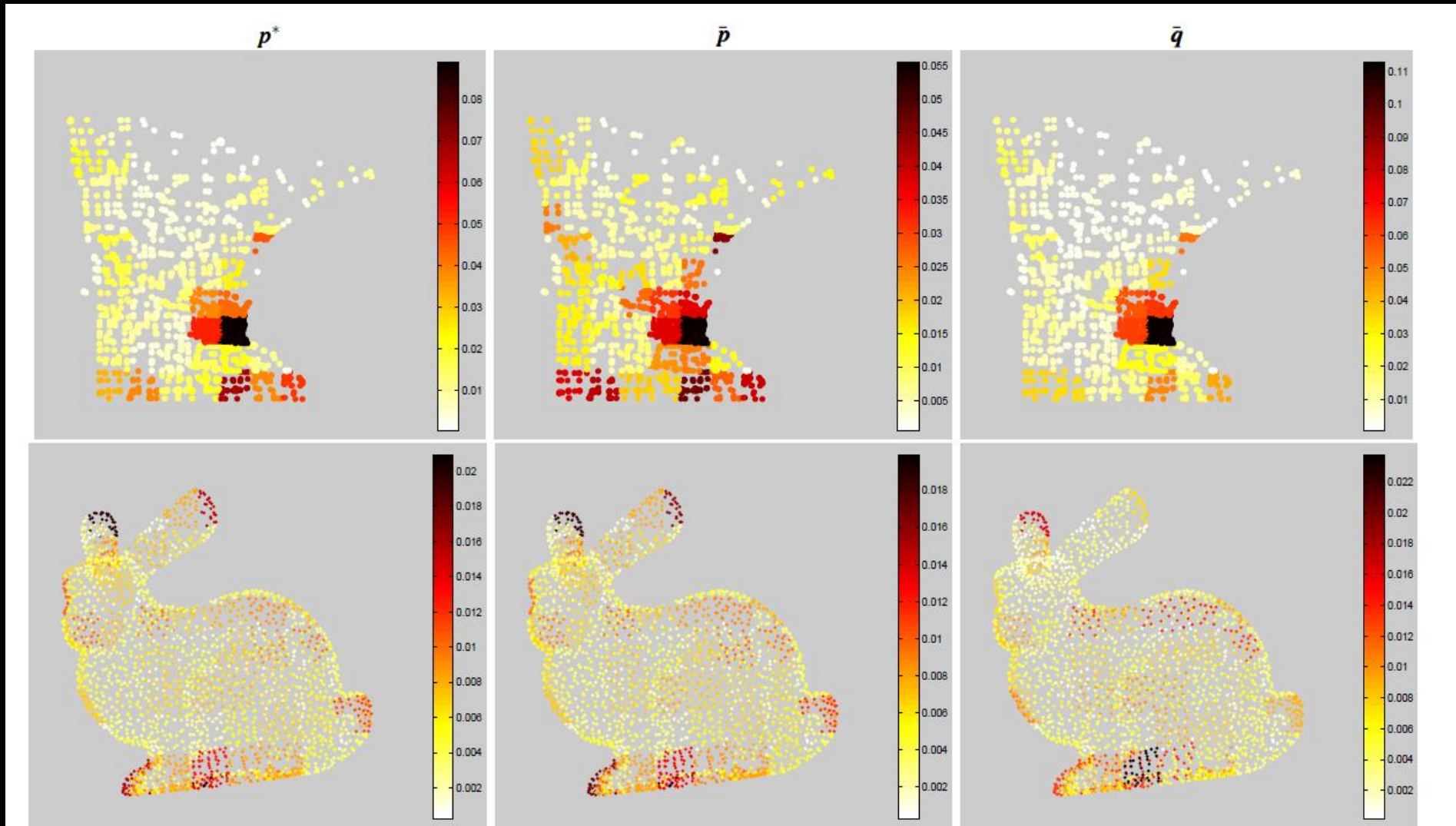
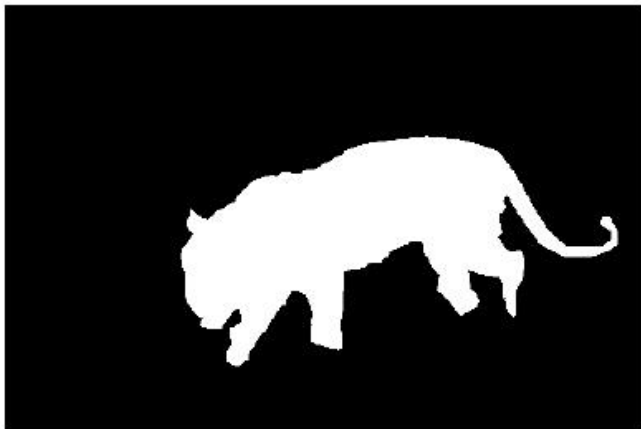


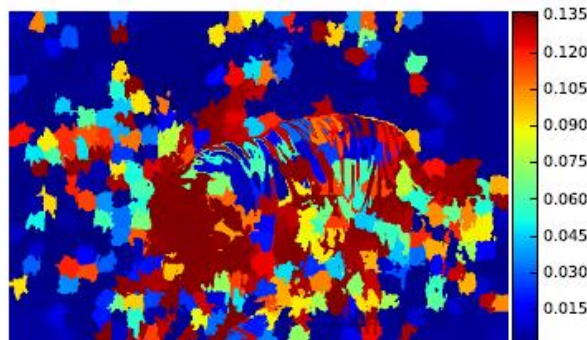
FIG. 4. Example of sampling distributions. Top panels: p^* (left), \bar{p} (middle), and \bar{q} (right) for the Minnesota graph at $k = 10$. Bottom panels: p^* (left), \bar{p} (middle), and \bar{q} (right) for the bunny graph at $k = 25$.

Original image



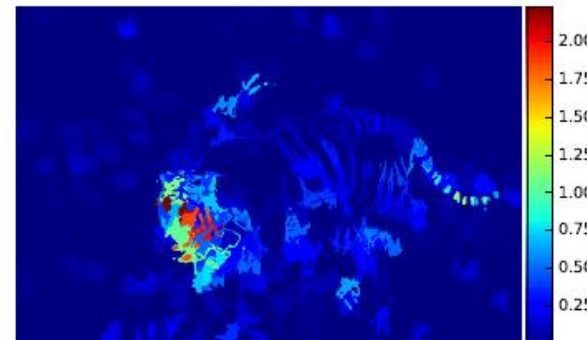
u

$\|N^{(\ell)}U_{k_0}\|_2^2$ values



\bar{p}

$\|N^{(\ell)}U_{k_0}\|_F^2$ values



\bar{q}

Result solving (3.14)



Result solving (3.1)



Convolutional Neural Nets (CNNs) on graph

CNNs

- ▶ Immensely successful for image-related task (recognition, prediction, processing, editing)
- ▶ Layers: Convolutions, non-linearities and pooling

Extension to graph signals?

- ▶ No natural convolution and pooling
- ▶ Graph structure may vary (not only size as with lattices)
- ▶ Computational complexity
- ▶ A simple proposal [Puy *et al.* 2017]

Graph-CNNs

Convolution in spectral domain [Bruna *et al.* 2013]

- ▶ Computation and use of Fourier basis not scalable
- ▶ Difficult handling of graph changes across inputs

Convolution with polynomial filters [Defferrard *et al.* 2016, Kipf *et al.* 2016]

- ▶ Better control of complexity and locality
- ▶ Not clear handling of graph changes across inputs
- ▶ Lack of filter diversity (e.g., rotation invariance on 2D lattice)

Direct convolutions [Monti *et al.* 2016, Niepert *et al.* 2016, Puy *et al.* 2017]

- ▶ Local or global pseudo-coordinates
- ▶ Include convolution on regular grid as special case

Direct convolution on weighted graph

At each vertex

- ▶ Extract a fixed-size signal “patch”

Order,

$$\sigma : \mathcal{V} \times (1, d) \rightarrow \mathcal{V}$$

$$\sigma(i, \cdot) \text{ orders } \{j \in \mathcal{V} : j \sim i\}$$

Weigh,

$$g : \mathbb{R}_+^d \times (1, d) \rightarrow \mathbb{R}_+$$

Assemble

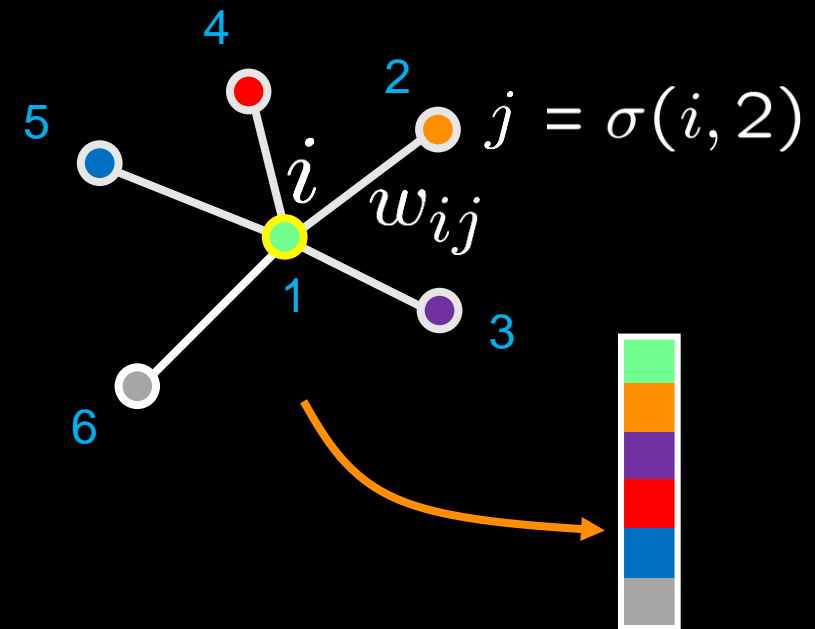
$$q : \mathcal{V} \times \mathbb{R}^n \rightarrow \mathbb{R}^d$$

$$(i, \mathbf{x}) \mapsto \left(g(\mathbf{w}_i, \ell) x_{\sigma(i, \ell)} \right)_{\ell=1}^d$$

- ▶ Dot product with filter kernel

$$\mathbf{x} \in \mathbb{R}^n, \mathbf{h} \in \mathbb{R}^d$$

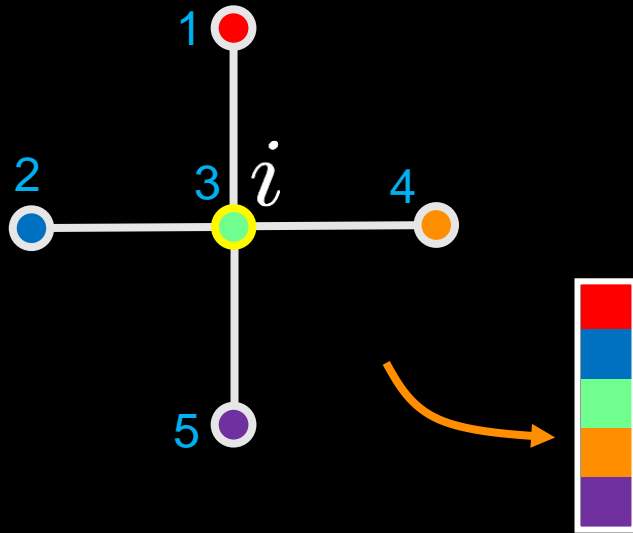
$$\mathbf{x} \star \mathbf{h}(i) = \mathbf{h}^\top q(i, \mathbf{x})$$



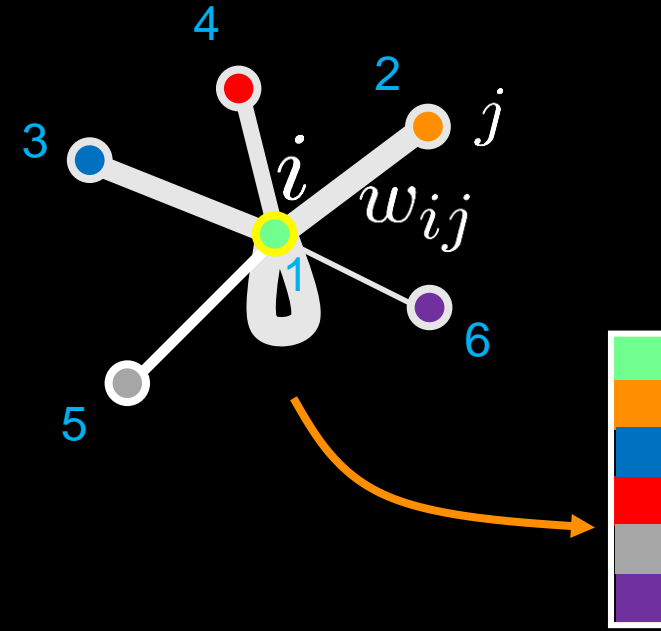
Direct convolution on weighted graph

Back to classic convolution

- ▶ Lexicographical order, no weighting



Weight-based ordering and weighting

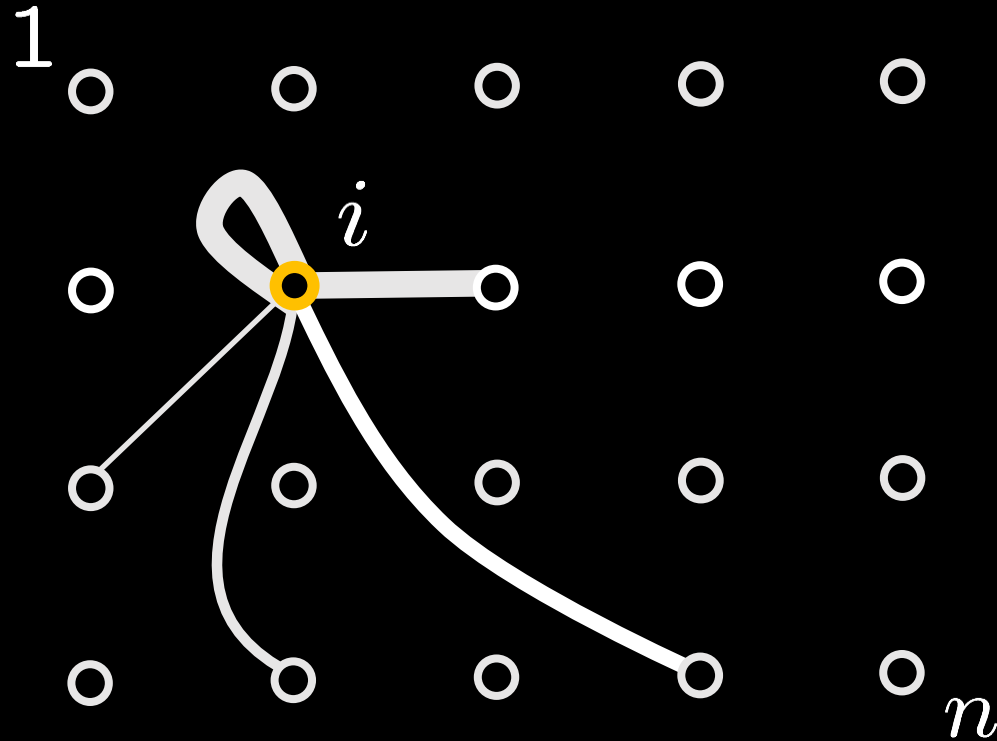


$$g(w_i, l) = \frac{w_{i\sigma(i,l)}}{\sum_{j \sim i} w_{ij}}$$

Non-local weighted pixel graph

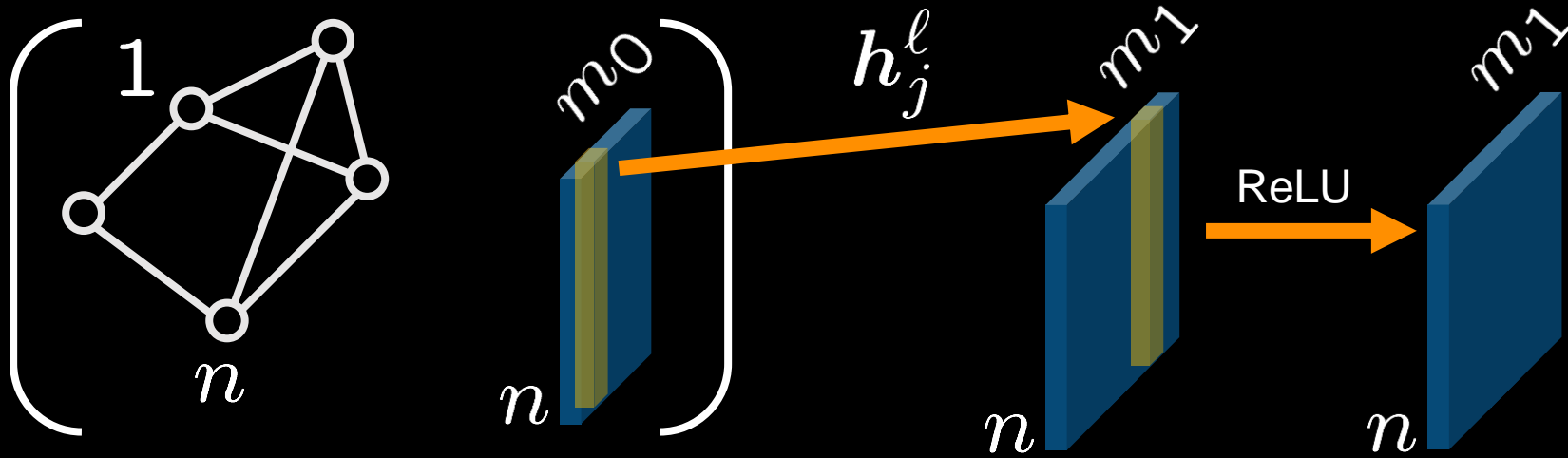
Feature-based nearest neighbor graph

- ▶ Given an image, one feature vector at each pixel
- ▶ Connect each pixel to its d nearest neighbor in feature space
- ▶ Weigh with exponential of feature similarity



One graph convolutional layer

$$f(\mathcal{G}, X_{n \times m_0}) = \left(\text{ReLU} \left(\sum_{j=1}^{m_0} x_j \star h_j^\ell + b_\ell \right) \right)_{\ell=1 \dots m_1}$$



Style transfer

Neural example-based stylization [Gatys *et al.* 2015]

- ▶ Iterative modification of noise to fit “statistics” of style image and “content” of target image
- ▶ Neural statistics: Gram matrix of feature maps at a layer of a pre-trained deep CNN



Style transfer

Using only a single random graph convolution layer

- ▶ Input image only used to build the graph

$$n = 256 \times 256, d = 25$$

$$m_0 = 3, m_1 = 50$$



Style transfer

Using only a single random graph convolution layer

- ▶ Input image only used to build the graph

$$n = 256 \times 256, d = 25$$

$$m_0 = 3, m_1 = 50$$



Non-local graph only

Style transfer

Using only a single random graph convolution layer

- ▶ Input image only used to build the graph

$$n = 256 \times 256, d = 25$$
$$m_0 = 3, m_1 = 50$$



Non-local graph + Local graph



Color palette transfer

$$n = 64, d = 10$$
$$m_0 = 2, m_1 = 100$$

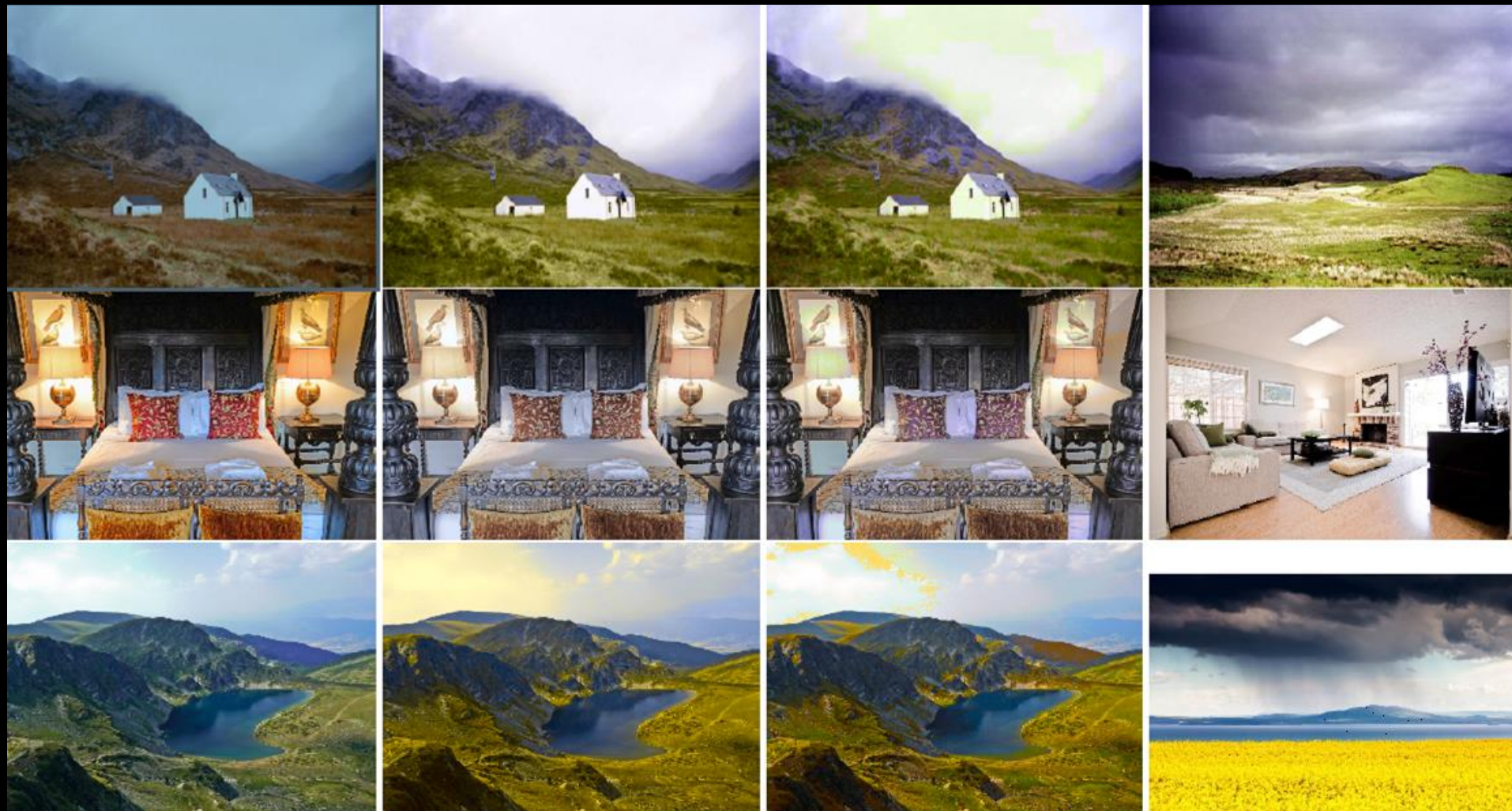
Using only a single random graph convolution layer

target image

proposed

optimal transport

source palette



Signal denoising

Trained 3-layer graph CNN

- ▶ Local and non-local graphs from noisy input

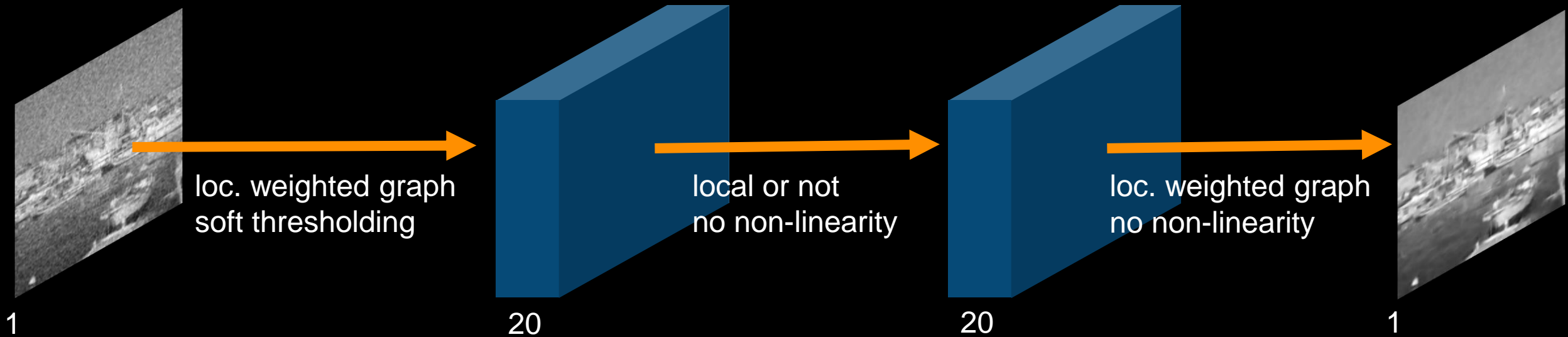
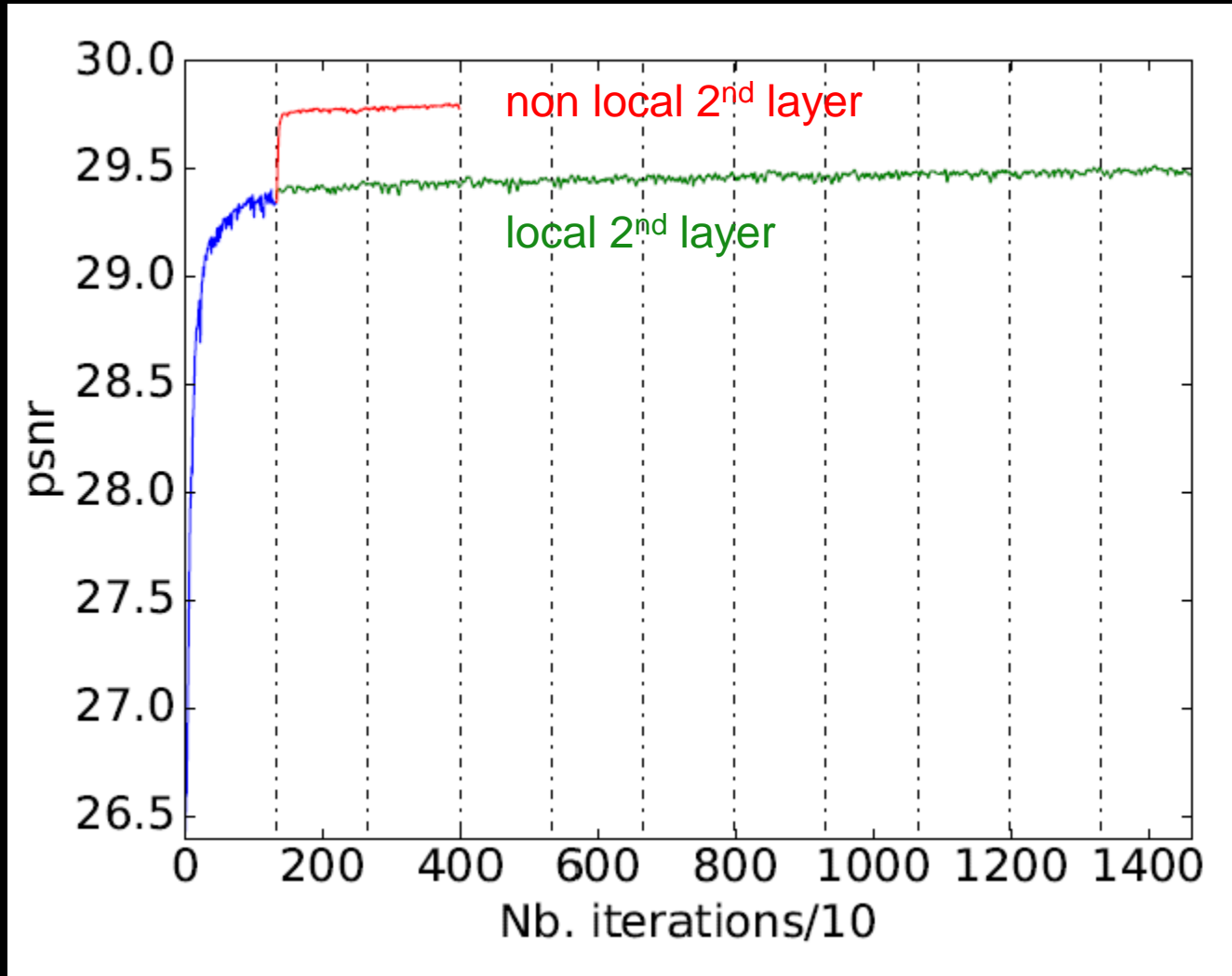


Image denoising



Noisy	23.10dB
Trained – Local	29.13dB
Trained – Non-local	29.42dB
Haar soft thresh.	26.78dB



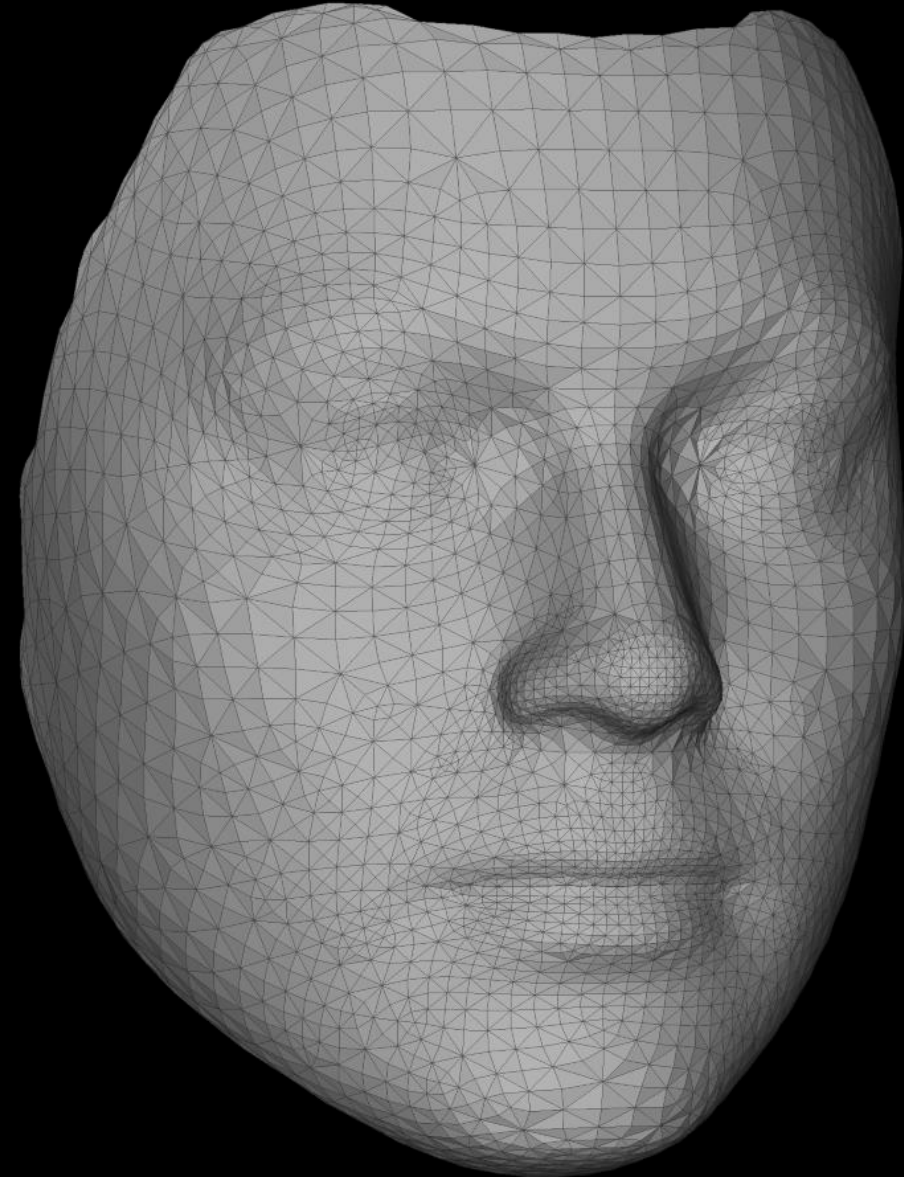
Triangular 3D mesh

Graph

- ▶ Vertices: points in 3D space
- ▶ Edges: forming triangulated graph
- ▶ Weights (if any): associated to local 3D shape

Signals

- ▶ Colors
- ▶ Normals
- ▶ Mesh deformations



Face capture from single video



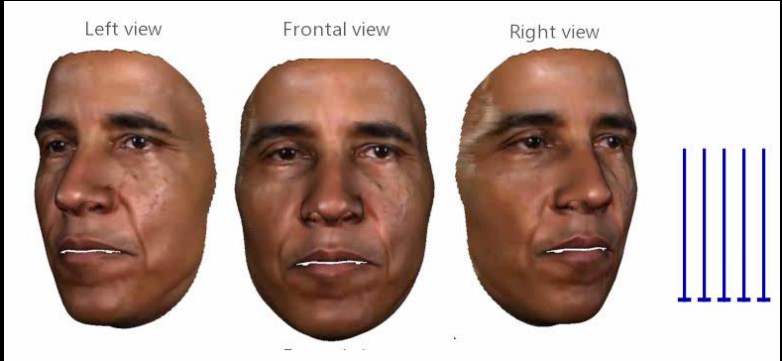
[Cao et al., 2015]



[Suwajanakorn et al., 2014]



[Garrido et al., 2016]



Detailed 3D face rig

Parametric face model

Two-level coarse linear modelling

- ▶ Inter-individual variations: linear space around average neutral face (AAM)
- ▶ Expressions: linear space of main modes of deformations around neutral (*blendshapes*)

Reconstruction and tracking from raw measurements

- ▶ Extract person's neutral *shape* (morphology)
- ▶ Extract/track main *deformations* (expression/performance)
- ▶ Mitigate model limitations through *smooth corrections*
- ▶ Recover person-specific fine scale *details*



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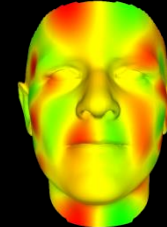
Smooth correction

[Garrido *et al.* 2016]



Layered mesh model

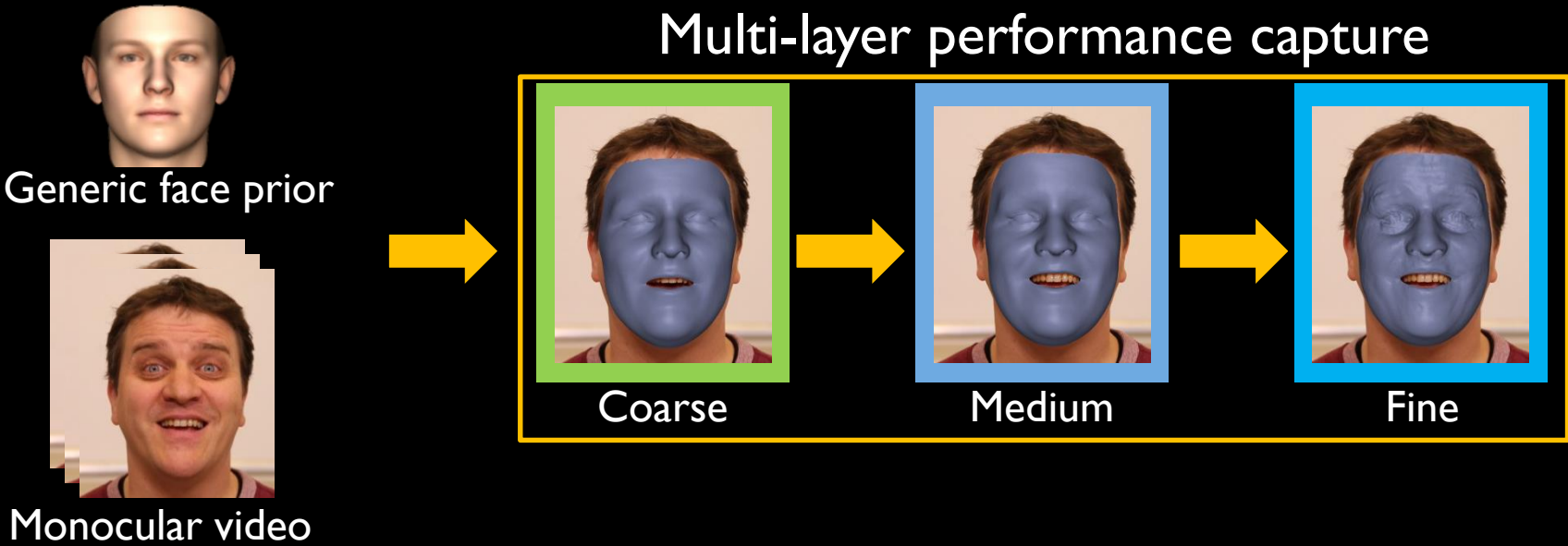
$$m = m_0 + A\alpha + B\beta + C\eta + d \in \mathbb{R}^{3n}$$



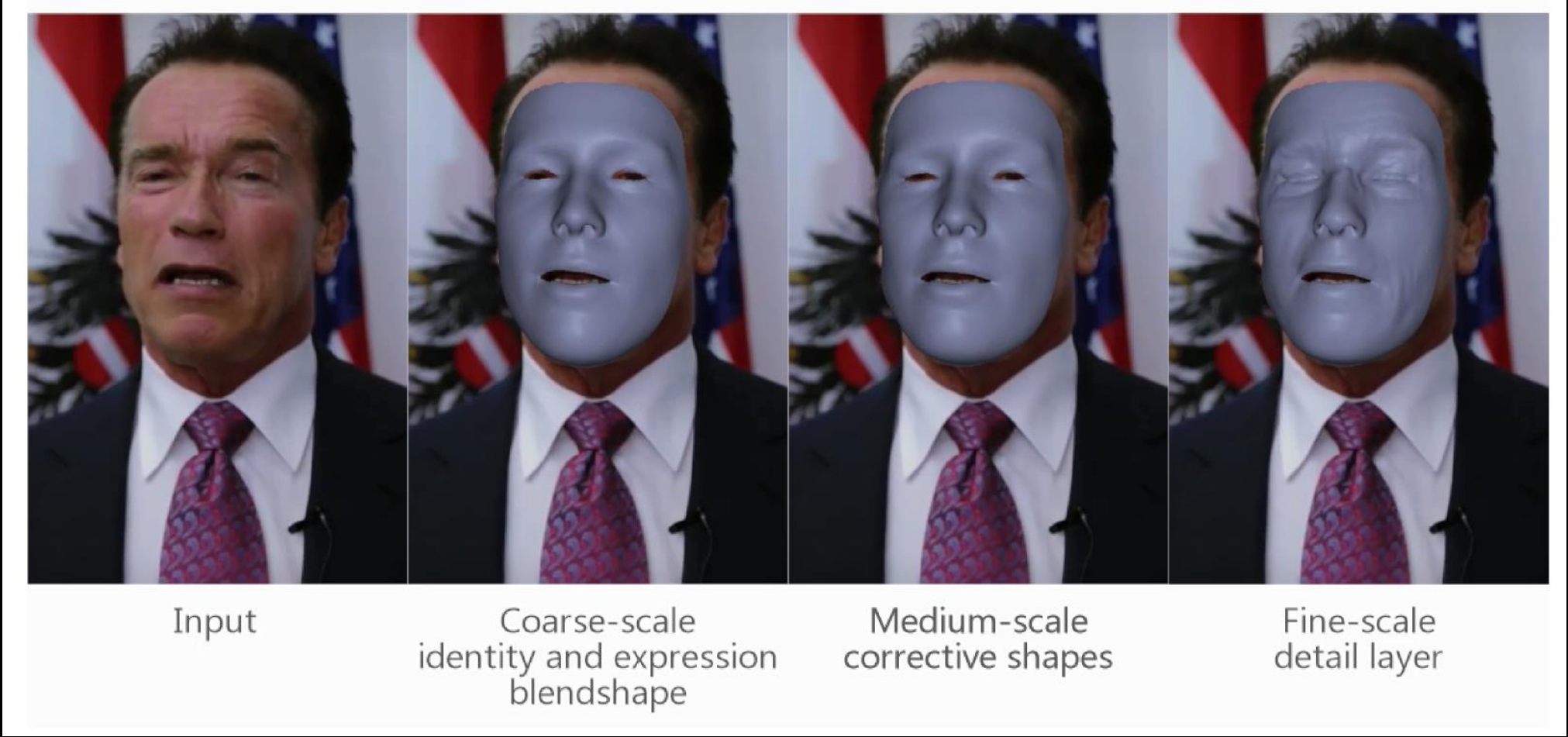
Graph harmonics on each coordinate [Vallet and Levy 2008][Li *et al.* 2013]

$$C\eta = \begin{bmatrix} U_k \eta_x \\ U_k \eta_y \\ U_k \eta_z \end{bmatrix}$$

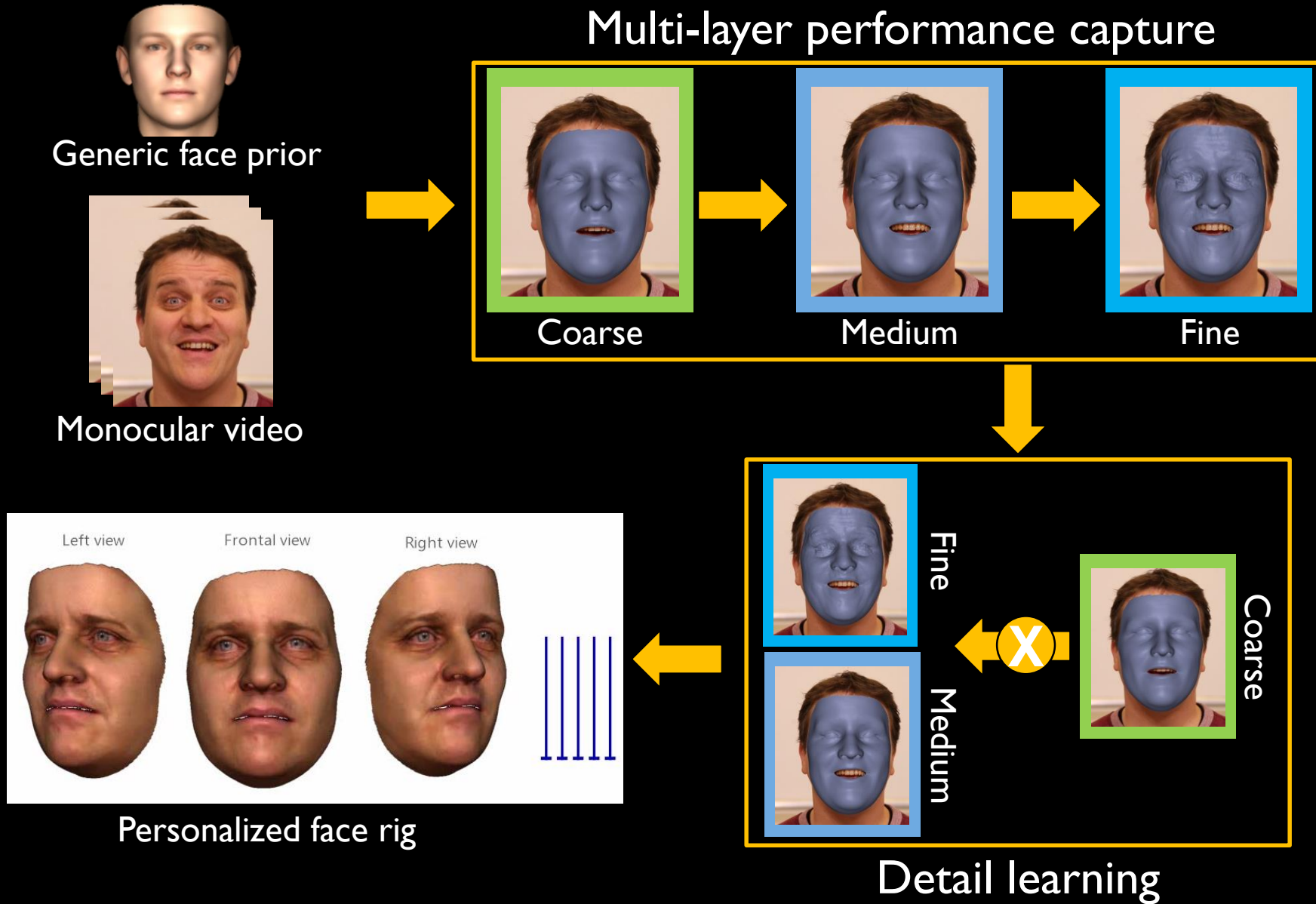
Model personalization and tracking in single video



Multi-layer performance capture



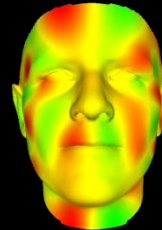
From capture to animation



Personalized face rig

Turn model into a face rig (puppet)

$$m = m_0 + A\alpha + B\beta + C\eta + d \in \mathbb{R}^{3n}$$



► Ridge regression $\hat{\eta} = R_{240 \times 75} \beta$

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Turn model into a face rig (puppet)

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Diagram illustrating the face rig equation: $m = m_0 + A\alpha + B\beta + C\eta + d \in \mathbb{R}^{3n}$. The terms are visualized with corresponding face models below them:

- m_0 : Base face model (neutral expression).
- $A\alpha$: Fixed parameters (labeled "fixed") corresponding to a face model with a different expression.
- $B\beta$: Editable parameters (labeled "editable") corresponding to a face model with a different expression.
- $C\eta$: Editable parameters (labeled "editable") corresponding to a face model with a different expression.
- d : Editable parameters (labeled "editable") corresponding to a face model with a different expression.

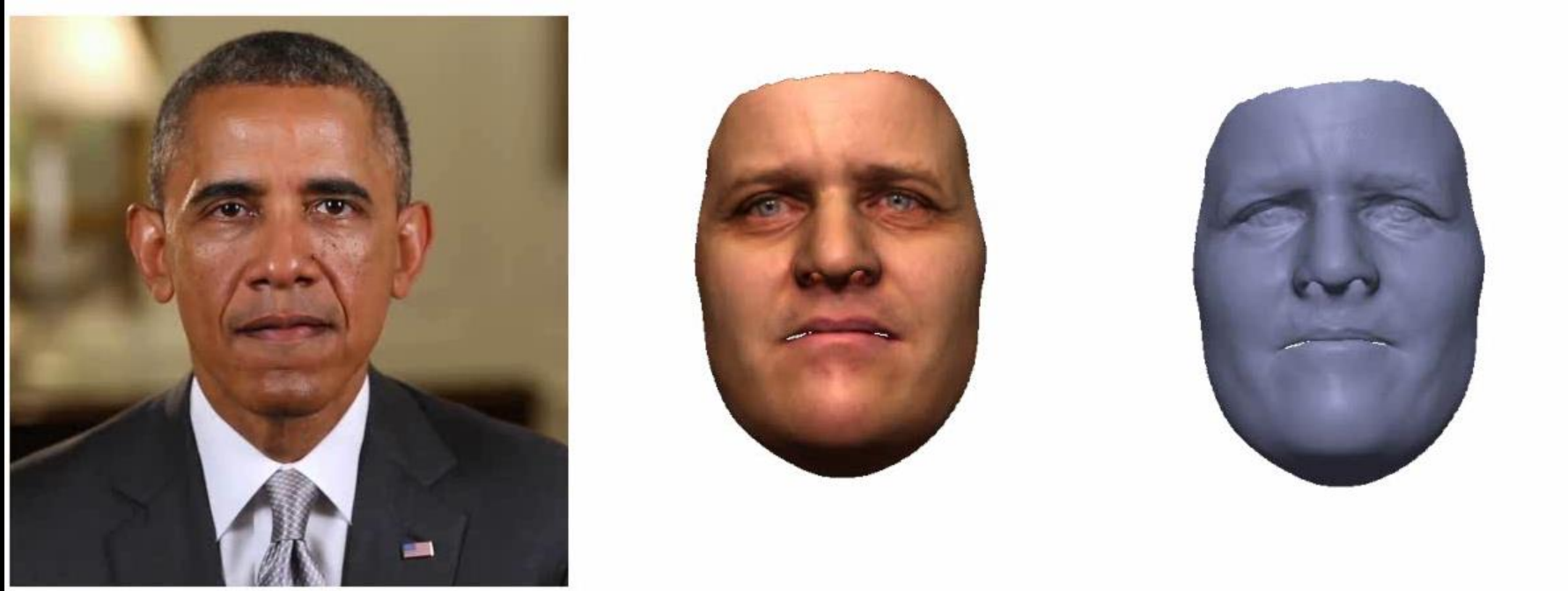
A yellow arrow labeled "regression" points from the $B\beta$ term to the $C\eta$ term, indicating the relationship between these parameters.

► Ridge regression $\hat{\eta} = R_{240 \times 75} \beta$

Rig animation from capture



Rig animation from capture



From processing to learning on graphs

Patrick Pérez

Maths and Images in Paris

IHP, 2 March 2017

- ▶ G. Puy, P. Pérez. **Structured sampling and fast reconstruction of smooth graph signals**. Submitted to Information and Inference
- ▶ G. Puy, S. Kitic, P. Pérez. **Unifying local and non-local signal processing with graph CNNs**. arXiv:1702.07759
- ▶ P. Garrido, M. Zollhoefer, D. Casas, L. Valgaerts, K. Varanasi, P. Pérez, Ch. Theobalt. **Reconstruction of personalized 3D face rigs from monocular video**. ACM Trans. on Graphics, 35(3), 2016